

Asia Capital Limited

CIN: L65993DL1983PLC016453

Registered Office
100 Vaishali, Pitampura
Delhi-110 034 (India)
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FORM NO. MGT-9

Extract of Annual Return

As on the financial year ended 31st March 2018

[Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12 (1) of the Companies (Management and Administration) Rules, 2014]

I. Registration and other details:

i)	CIN	L65993DL1983PLC016453
ii)	Registration Date	02-09-1983
iii)	Name of the Company	Asia Capital Limited
iv)	Category/Sub Category of the Company	Public Limited Company/ Non Banking Finance Company (NBFC)
v)	Address of the Registered office and contact details	Regd. Office: 100 Vaishali, Pitampura, Delhi-110 034 (India) Website: www.asiacapital.in Email: deepak@dmgroup.in.net Tel: +91-11-4705 5102 Fax: +91-11-4705 5102
vi)	Whether listed company (Yes/No)	Yes; Listed
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	Mas Services Limited T-34, 2nd Floor Okhla Industrial Area Phase-II New Delhi-110 020 Phone No. +91-11-2638 7281, 2638 7282 Fax No. +91-11-2638 7384 Email: info@masserv.com

II. Principal business activities of the company

(All the business activities contributing 10% or more of the total turnover of the company shall be stated)

Sl. No.	Name and Description of main products/services	NIC Code of the Product/service	% of total turnover of the company
1.	Sale of Shares & other Securities [other financial vehicle]	64300	99.015

III. Particulars of holding, subsidiary and associate companies: NIL

Sl. No.	Name and address of the company	CIN/GLN	Holding/ subsidiary/ associate	% age of shares held	Applicable section
1.					
2.					
3.					

IV. Share Holding Pattern (Equity Share Capital Breakup as Percentage of Total Equity)

a) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-03-2017]				No. of Shares held at the end of the year [As on 31-03-2018]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ H.U.F.	440990	0	440990	14.26	440990	0	440990	14.26	0
b) Central Govt.	0	0	0	0.00	0	0	0	0	0
c) State Govt.(s)	0	0	0	0.00	0	0	0	0	0
d) Bodies Corp.	350000	0	350000	11.32	350000	0	350000	11.32	0
e) Banks/FI	0	0	0	0.00	0	0	0	0	0
f) Any other	0	0	0	0.00	0	0	0	0	0
Sub-total (A)(1)	790990	0	790990	25.58	790990	0	790990	25.58	0
(2) Foreign									
a) NRIs Individual	0	0	0	0	0	0	0	0	0
b) Other Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corporate	0	0	0	0	0	0	0	0	0
d) Banks/FI	0	0	0	0	0	0	0	0	0
e) Any other	0	0	0	0	0	0	0	0	0
Sub-total (A)(2)	0	0	0.00	0	0	0	0	0	0
Total Shareholding of Promoter A=A(1)+A(2)	790990	0	790990	25.58	790990	0	790990	25.58	0

B. Public Shareholding									
1. Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt.(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (B)(1)	0	0	0	0	0	0	0	0	0
2. Non-Institutions									
a) Bodies Corporate									
i) Indian	750000	0	750000	24.26	750000	0	750000	24.26	0
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 2 lakh	0	551010	551010	17.82	0	551010	551010	17.82	0
ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakh	1000000	0	1000000	32.34	1000000	0	1000000	32.34	0
c) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (B)(2)	1750000	551010	2301010	74.42	1750000	551010	2301010	74.42	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)	1750000	551010	2301010	74.42	1750000	551010	2301010	74.42	0
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	2540990	551010	3092000	100	2540990	551010	3092000	100	0

b) Shareholding of Promoter:

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	
1.	Deepak Kumar Jain Jt. Kavita Jain	1,28,060	4.14	0	1,28,060	4.14	0	0
2.	Surendra Kumar Jain Jt. Deepak Kumar Jain	81,720	2.64	0	81,720	2.64	0	0
3.	Manoj Kumar Jain Jt. Nidhi Jain	78,100	2.53	0	78,100	2.53	0	0
4.	Kavita Jain Jt. Deepak Kumar Jain	75,050	2.43	0	75,050	2.43	0	0
5.	Nidhi Jain Jt. Manoj Kumar Jain	75,000	2.43	0	75,000	2.43	0	0
6.	Chandra Bhan Agarwal Jt. Meera Rani Agarwal	3,060	0.10	0	3,060	0.10	0	0
7.	D.M. International Pvt. Ltd.	3,50,000	11.32	0	3,50,000	11.32	0	0
Total		7,90,990	25.58	0	7,90,990	25.58	0	0

c) Change in Promoter's Shareholding

Sl. No.	Shareholder's Name	Shareholding		Date of change	Increase/ (Decrease) in shareholding	Reason	Cumulative Shareholding during the year (01-04-2017 to 31-03-2018)	
		No. of Shares at the beginning (01-04-2017)	% of total Shares of the Company				No. of Shares	% of total Shares of the Company
1.	Deepak Kumar Jain Jt. Kavita Jain	1,28,060	4.14	No change	N.A.	N.A.	1,28,060	4.14
2.	Surendra Kumar Jain Jt. Deepak Kumar Jain	81,720	2.64	No change	N.A.	N.A.	81,720	2.64
3.	Manoj Kumar Jain Jt. Nidhi Jain	78,100	2.53	No change	N.A.	N.A.	78,100	2.53
4.	Kavita Jain Jt. Deepak Kumar Jain	75,050	2.43	No change	N.A.	N.A.	75,050	2.43
5.	Nidhi Jain Jt. Manoj Kumar Jain	75,000	2.43	No change	N.A.	N.A.	75,000	2.43
6.	Chandra Bhan Agarwal Jt. Meera Rani Agarwal	3,060	0.10	No change	N.A.	N.A.	3,060	0.10
7.	D.M. International Pvt. Ltd.	3,50,000	11.32	No change	N.A.	N.A.	3,50,000	11.32

d) Shareholding Pattern of top eleven Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Shareholder's Name	Shareholding		Date of change	Increase/ (Decrease) in shareholding	Reason	Cumulative Shareholding during the year (01-04-017 to 31-03-2018)	
		No. of Shares at the beginning (01-04-2017)	% of total Shares of the Company				No. of Shares	% of total Shares of the Company
1.	O.J. Financial Services Limited	7,49,990	24.26	30-06-2017	(100%)	Sale in the market	0	0
2.	Gentleman Products P. Ltd.	0	0	30-06-2017	100%	Purchase from the market	7,50,000	24.26
3.	Sunil Kumar Gupta	2,00,000	6.47	No change	N.A.	N.A.	2,00,000	6.47
4.	Satya Gupta	2,00,000	6.47	No change	N.A.	N.A.	2,00,000	6.47
5.	Ruchika Gupta	1,00,000	3.23	No change	N.A.	N.A.	1,00,000	3.23
6.	Narendra Kumar Aggarwal	1,00,000	3.23	No change	N.A.	N.A.	1,00,000	3.23
7.	Santosh Aggarwal	1,00,000	3.23	No change	N.A.	N.A.	1,00,000	3.23
8.	Narender Aggarwal (H.U.F.)	1,00,000	3.23	No change	N.A.	N.A.	1,00,000	3.23
9.	Pawan Kumar Gupta	50,000	1.62	No change	N.A.	N.A.	50,000	1.62
10.	Alka Gupta	50,000	1.62	No change	N.A.	N.A.	50,000	1.62
11.	Pawan Kumar Gupta (H.U.F.)	50,000	1.62	No change	N.A.	N.A.	50,000	1.62
12.	Chander Kant Jha	50,000	1.62	No change	N.A.	N.A.	50,000	1.62

e) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Name of the Directors and KMPs	Shareholding		Date of change	Increase/ (Decrease) in shareholding	Reason	Cumulative Shareholding during the year (01-04-2017 to 31-03-2018)	
		No. of Shares at the beginning (01-04-2017)	% of total Shares of the Company				No. of Shares	% of total Shares of the Company
1.	Mr. Deepak Kumar Jain	1,28,060	4.14	No change	N.A.	N.A.	1,28,060	4.14
2.	Mr. Surendra Kumar Jain	81,720	2.64	No change	N.A.	N.A.	81,720	2.64
3.	Mr. Manoj Kumar Jain	78,100	2.53	No change	N.A.	N.A.	78,100	2.53
4.	Mrs. Shallu Jain	Nil	N.A.	No change	N.A.	N.A.	Nil	N.A.
5.	Mr. Atul Aggarwal	Nil	N.A.	No change	N.A.	N.A.	Nil	N.A.
6.	Mr. Saurabh Madan	Nil	N.A.	No change	N.A.	N.A.	Nil	N.A.
7.	Mr. Atin Jain	Nil	N.A.	No change	N.A.	N.A.	Nil	N.A.
8.	Ms. Kaveri Ghosh	Nil	N.A.	No change	N.A.	N.A.	Nil	N.A.

V) **Indebtedness of the company including interest outstanding/accrued but not due for payment**

(Rs. In lakhs)

Indebtedness at the beginning of the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	0	0	0	0
Change in Indebtedness during the financial year	0	0	0	0
• Addition	0	0	0	0
• Reduction (Repayments)	0	0	0	0
Net Change	0	0	0	0
Indebtedness at the end of the financial year				
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	0	0	0	0

VI. Remuneration of directors and key managerial personnel

A. Remuneration to Managing Director, Whole-Time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager			Total Amount (in Rs.)
1.	Gross salary				
	(a) Salary as per provisions contained in section 17 (1) of the Income-tax Act, 1961				
	(b) Value of perquisites u/s 17 (2) Income-tax Act, 1961				
	(c) Profits in lieu of salary under section 17 (3) Income- tax Act, 1961				
2.	Stock Option				
3.	Sweat Equity				
4.	Commission				
	- as % of profit				
	- others, specify...				
5.	Others, please specify				
Total (A)					
Ceiling as per the Act					

B. Remuneration to other directors

Sl. No.	Particulars of Remuneration	Name of Director			Total Amount (in Rs.)
		Mrs. Shallu Jain	Mr. Atul Aggarwal	Mr. Saurabh Madan	
1.	Independent Directors				
	Fee for attending board & committee meetings	18,000	18,000	2,000	38,000
	Commission	Nil	Nil	Nil	Nil
	Others, please specify	Nil	Nil	Nil	Nil
	Total (1)	18,000	18,000	2,000	38,000
2.	Other Non-Executive Directors	Nil	Nil	Nil	Nil
	Fee for attending board & committee meetings	Nil	Nil	Nil	Nil
	Commission	Nil	Nil	Nil	Nil
	Others, please specify	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	Nil	Nil
	Total (B)=(1+2)	18,000	18,000	2,000	38,000
Total Managerial Remuneration		18,000	18,000	2,000	38,000
Overall Ceiling as per the Act		It is in accordance with the ceiling as specified under section 197 read with Schedule V of the Companies Act, 2013			

**C. Remuneration to key managerial personnel other than MD/Manager/WTD
(Amount in Rs.)**

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		
		CFO	CS	Total
1.	Gross salary	Mr. Atin Jain	Ms. Kaveri Ghosh	
	(a) Salary as per provisions contained in section 17 (1) of the Income-tax Act, 1961	6,00,000	3,00,000	9,00,000
	(b) Value of perquisites u/s 17 (2) Income-tax Act, 1961	Nil	Nil	Nil
	(c) Profits in lieu of salary under section 17 (3) Income-tax Act, 1961	Nil	Nil	Nil
2.	Stock Option	Nil	Nil	Nil
3.	Sweat Equity	Nil	Nil	Nil
4.	Commission	Nil	Nil	Nil
	- as % of profit	Nil	Nil	Nil
	others, specify...	Nil	Nil	Nil
5.	Others, please specify	Nil	Nil	Nil
Total		6,00,000	3,00,000	9,00,000

VII. Penalties/punishment/compounding of offences: Nil

[No penalties/punishment/compounding of offences were levied under the Companies Act, 2013.]

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/ NCLT/ COURT]	Appeal made, if any (give Details)
A. Company					
Penalty					
Punishment					
Compounding					
B. Directors					
Penalty					
Punishment					
Compounding					
C. Other officers in default					
Penalty					
Punishment					
Compounding					